

# Computation Schemes for Splitting Fields of Polynomials

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# Part I

## Introduction

# The Splitting Field of a Polynomial

Let  $f \in \mathbb{Z}[x]$  be a **monic irreducible** polynomial with **degree  $n$**  and  $\underline{\alpha} = \{\alpha_1, \dots, \alpha_n\}$  a set of its roots.

## Aim

Compute a representation of  $\mathbb{Q}_f = \mathbb{Q}(\underline{\alpha})$  the **Splitting Field** of  $f$ .

Representation of  $\mathbb{Q}_f$ :

$$\mathbb{Q}[x_1, \dots, x_n] / \mathcal{I}$$

where  $\mathcal{I}$  is the **splitting ideal** defined by

$$\mathcal{I} = \{R \in \mathbb{Q}[x_1, \dots, x_n] \mid R(\underline{\alpha}) = 0\}$$

(Note:  $\mathcal{I}$  depends on the numbering of the roots  $\underline{\alpha}$ )

# The Splitting Field of a Polynomial

The splitting ideal  $\mathcal{I}$  is generated by the following **triangular Gröbner basis**  $\mathcal{T}$  (LEX  $x_1 < x_2 < \dots < x_n$ )

$$\left\{ \begin{array}{ll} g_1(x_1) = f(x_1) = x_1^{d_1} + r_1(x_1) & \deg_{x_1}(r_1) < d_1 \\ g_2(x_1, x_2) = x_2^{d_2} + r_2(x_1, x_2) & \deg_{x_2}(r_2) < d_2 \\ \vdots & \\ g_n(x_1, \dots, x_n) = x_n^{d_n} + r(x_1, \dots, x_n) & \deg_{x_n}(r_n) < d_n \end{array} \right.$$

$g_j(\alpha_1, \dots, \alpha_{j-1}, x_j)$  minimal polynomial of  $\alpha_j$  over  $\mathbb{Q}(\alpha_1, \dots, \alpha_{j-1})$  :

$$\left\{ \begin{array}{l} g_1(x_1) = x_1^{d_1} + r_1(x_1) \\ g_2(\alpha_1, x_2) = x_2^{d_2} + r_2(\alpha_1, x_2) \\ \vdots \\ g_n(\alpha_1, \alpha_2, \dots, x_n) = x_n^{d_n} + r(\alpha_1, \alpha_2, \dots, x_n) \end{array} \right. \begin{array}{c} \mathbb{Q} \\ | \\ \mathbb{Q}(\alpha_1) \\ | \\ \mathbb{Q}(\alpha_1, \alpha_2) \\ | \\ \vdots \\ \mathbb{Q}(\alpha_1, \alpha_2, \dots, \alpha_{n-1}) \\ | \\ \mathbb{Q}_f = \mathbb{Q}(\alpha_1, \alpha_2, \dots, \alpha_n) \end{array}$$

# The Galois Group of a Polynomial

The  $\mathbb{Q}$ -automorphism group of  $\mathbb{Q}_f$  can be represented by a subgroup  $G_f$  of  $S_n$ , the Galois group of  $f$ :

$$\begin{aligned}\mathbb{Q}_f = \mathbb{Q}(\underline{\alpha}) &\longrightarrow \mathbb{Q}_f = \mathbb{Q}(\underline{\alpha}) \\ \alpha_i &\longmapsto \alpha_j\end{aligned}$$

The permutation group  $G_f$  stabilizes the ideal  $\mathcal{I}$ :

$$G_f = \{\sigma \in S_n \mid \forall R \in \mathcal{I}, \sigma \cdot R := R(x_{\sigma(1)}, \dots, x_{\sigma(n)}) \in \mathcal{I}\}$$

The variety of  $\mathcal{I}$  is defined by  $G_f$  action:

$$V(\mathcal{I}) = G_f \cdot (\alpha_1, \dots, \alpha_n) = \{(\alpha_{\sigma(1)}, \dots, \alpha_{\sigma(n)}) \mid \sigma \in G_f\}$$

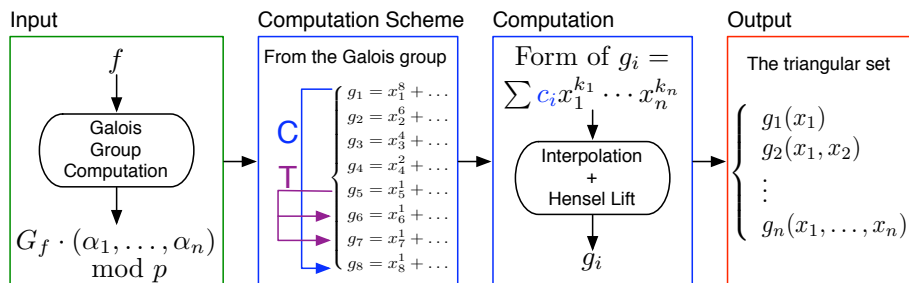
(Note:  $G_f$  depends on the numbering of the roots  $\underline{\alpha}$ )

How to use some knowledges about the Galois action in order to compute efficiently the splitting field?

- Yokoyama, A modular method for computing the Galois groups of polynomials. MEGA 1996
- Fernandez-Ferreiros, Gomez-Molleda, Gonzalez-Vega, Partial solvability by radicals, ISSAC 2002.
- Lederer, M., Explicit constructions in splitting fields of polynomials. 2004
- R., Yokoyama, A modular method for computing the splitting field of a polynomial. ANTS 2006
- Diaz-Toca, Dynamic Galois Theory and Gröbner Basis, ACA 2008
- Valibouze, Sur les relations entre les racines d'un polynôme, Acta Arithmetica 2008.
- R., Yokoyama, Multi-modular algorithm for computing the splitting field of a polynomial, ISSAC 2008

# Computation of the set $\mathcal{T}$

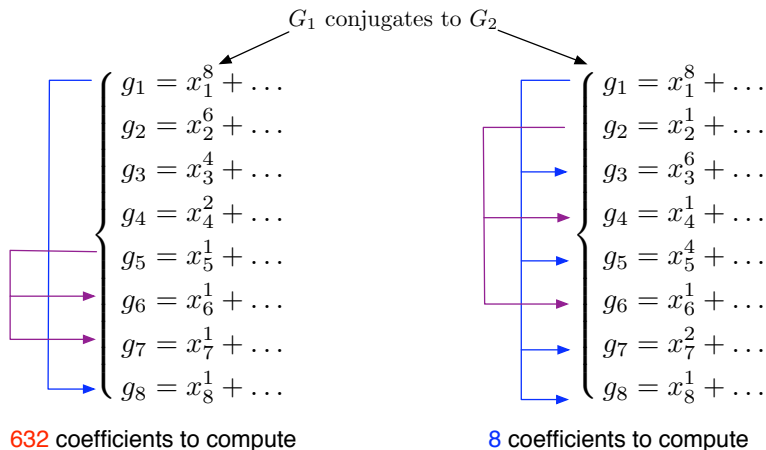
[ R., Yokoyama ANTS'06][ R., Yokoyama ISSAC'08]: Interpolation with a careful treatment on reducing computational difficulty (introduction of the computation schemes).



⇒ The total efficiency of the computation relies on the computation scheme !

# Computation Scheme: Problematic

Computation scheme is **not an invariant** of the conjugacy class of  $G_f$ !



⇒ How to compute a conjugate of  $G_f$  with the best computation scheme?



# Computation Scheme: Problematic

⇒ How to compute a conjugate of  $G_f$  with the best computation scheme?

[ R., Yokoyama ANTS'06]: brute force inspection of all the  $|S_n : N_{S_n}(G_f)|$  ( $\sim n!$  when  $G_f$  small) different conjugates of  $G_f$ .

- Combinatorial problem when  $|G|$  is moderate ( $|S_n : N_{S_n}(G_f)| \gg |G|$ ), inefficient for  $n > 7$
- Use of a data base to store the good conjugates

**New contribution:** Efficient algorithm for this computation.

- Based on the study of the orbits of  $G_f$
- Theoretical studies for families of permutation groups
- We do not need of a data anymore for the computation of  $\mathcal{I}$

## Part II

### Computation Scheme: Definition

# The principle of the computation scheme

⇒ [R., Yokoyama ANTS'06] [R. ISSAC'06]

Be given a permutation group  $G$ , a **computation scheme** consists of a data that guides the computation of the splitting field of a polynomial with Galois group  $G$  by **indeterminate coefficients method**.

- reducing the number of polynomials to compute
- reducing the number of indeterminate coefficients to compute

$c(G)$  will denote the number of coefficients to compute in  $\mathcal{T}$  by applying the corresponding computation scheme.

# Shape of $g_i$ 's and $\mathcal{T}$

From the knowledge of  $G$  we obtain:

Fields	Galois Group	Orbits
$\mathbb{Q}$	$G$	$\{1, \dots, n\}$
$\mathbb{Q}(\alpha_1)$	$\text{Stab}_G(\{1\})$	$\{1\}, \{i_1 = 2, \dots, i_{d_2}\}, \dots$
$\mathbb{Q}(\alpha_1, \alpha_2)$	$\text{Stab}_G(\{1, 2\})$	$\{1\}, \{2\}, \{i_1 = 3, \dots, i_{d_3}\}, \dots$
$\vdots$	$\vdots$	$\vdots$

$$d_i = |\text{Stab}_G(\{1, \dots, i-1\})| / |\text{Stab}_G(\{1, \dots, i\})|.$$

$$g_i = x_i^{d_i} + \sum_{0 \leq k_j < d_j} c x_1^{k_1} x_2^{k_2} \cdots x_i^{k_i}$$

# Reducing the number of polynomials to compute: Cauchy modules

By inspecting the corresponding orbit of a polynomial  $g_i$  we may deduce another polynomial by **generalized Cauchy module** (divided difference) computation.

$$\text{Cauchy} \left\{ \begin{array}{l} g_1 = x_1^{d_1} + \dots \\ \vdots \\ g_i = x_i^{d_i} + \dots \longrightarrow \{j_1 = i < j_2 < \dots < j_k < \dots < j_{d_i}\} \\ \vdots \\ g_\ell = x_\ell^{d_\ell} + \dots \\ \vdots \end{array} \right. \begin{array}{l} \text{Corresponding orbit} \\ \\ \text{Let } \ell = j_k \end{array}$$

If  $d_\ell = d_i - k + 1$  the Cauchy technique can be applied.

$\Rightarrow g_i(x_i, \alpha_{i-1}, \dots, \alpha_1)$  vanishes on  $\alpha_\ell$  for  $\ell \in \{j_1 = i, j_2, \dots, j_{d_i}\}$ .

# Reducing the number of polynomials to compute: Transporters

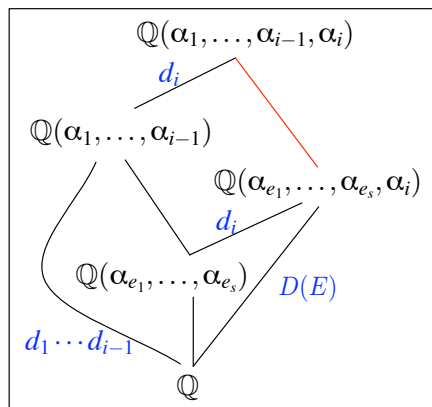
As  $G$  will be the stabilizer of the ideal generated by the set under construction, we can use its action.

$$\sigma \left\{ \begin{array}{l} g_1 = x_1^{d_1} + \dots \\ \vdots \\ g_i(X_{E_i}) = x_i^d + r(X_{E_i}) \\ \vdots \\ g_j = x_j^d + \dots = \sigma.f_i \\ \vdots \end{array} \right.$$

If  $d_j = d_i = d$  and  $\exists \sigma \in G$  s.t.  $\sigma(i) = j$  and  $\text{Max}(\sigma(E_i)) = j$  then  $f_j$  is deduced freely from  $f_i$ .

# Reducing the number of coefficients to compute: $i$ -relations

Generically  $g_i$  depends on  $x_1, \dots, x_1 \Rightarrow d_1 d_2 \cdots d_i$  indeterminate coefficients.



$E = \{e_1, \dots, e_s, i\} \subset \{1, \dots, i\}$   
The cardinal of  
 $\text{Stab}_G(\{E \setminus \{i\}\})$ -orbit of  $i$  is  $d_i$

$$g_i = x_i^{d_i} + r_i(x_{e_1}, \dots, x_{e_s}, x_i)$$

$D(E)$  coefficients indéterminés

## Definition

The computation scheme of the permutation group  $G$  is defined by the following data:

- 1 the degree  $d_i$  of the greatest variable in each polynomial in  $\mathcal{T}$ ;
- 2 mathematical objects (shape) computed by Cauchy and Transporters techniques;
- 3 the minimal  $i$ -relation of each polynomial in  $\mathcal{T}$  that can not be obtained by the preceding techniques.

⇒ Mainly depends on the **orbits** of the successive stabilizers of  $G$ .



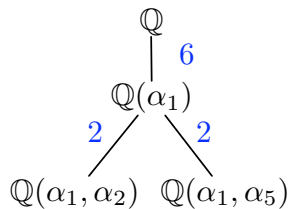
## Part III

# Fast Computation of Computation Schemes: Orbits Tree

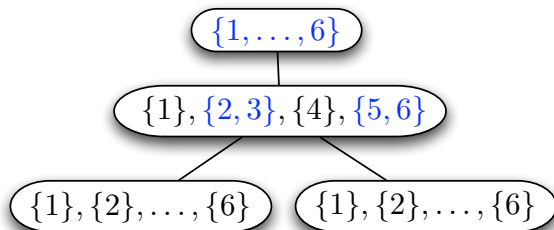
# Tower of subfields and orbits tree

⇒ We do not consider the linear factors ⇒ *non redundant bases* of  $G$ .

Fields

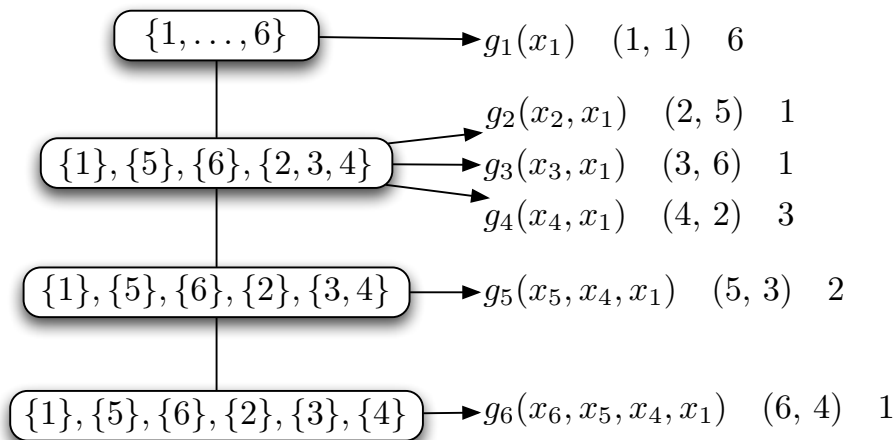


Orbits

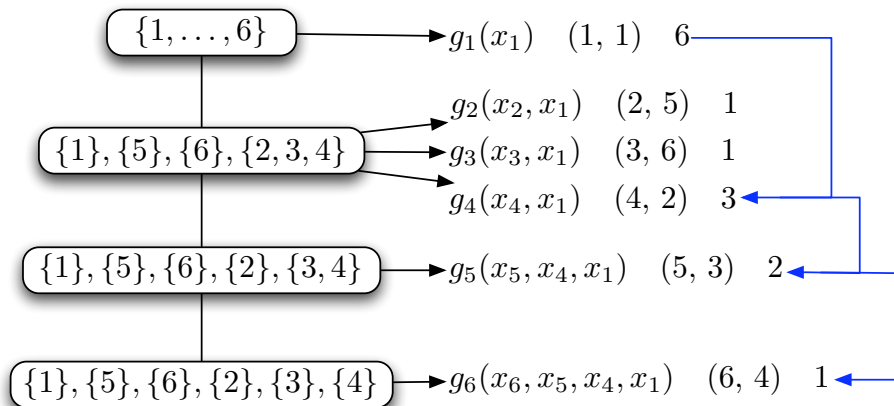


⇒ We do not need to inspect the  $|S_6 : N_{S_6}(G)| = 60$  different conjugates of  $G$  but only 2 branches of the orbit tree !

# From a Branch to a Computation Scheme



# From a Branch to a Computation Scheme



- Linear relations first  $\Rightarrow$  best gain with the Cauchy technique
- We obtain sparse  $i$ -relation, but may be not the sparsest ones.

## Sieving the orbits tree

In the same way, we can inspect the orbits tree for applying transporter technique and finding the sparsest  $i$ -relations.

## Theoretical cost

The theoretical complexity is not so good :  $\text{poly}(|G|)$ , but

- The total complexity of the computation of the splitting field is not dominated by this step.
- For moderate size groups this complexity is  $\ll |S_n : N_{S_n}(G)|$ .
- In practice, the algorithm is very efficient!

## Theoretical Tricks

By using group properties we can cut some branches in the tree (primitivity, transitivity, solvability, etc.)

- Alternate, Symmetric grps: high transitivity  $\Rightarrow$  Cauchy technique.
- Cyclic groups: CS can be easily deduced without any computation
- Dihedral groups ([R. ISSAC'06]): idem
- Wreath products (This work): idem
- We can recursively use these results for cutting branches during the tree analysis.

# Experimental results

## Comp. Schemes Timings (Magma 2.14, 32 bits, Intel 2.5GHz)

For almost all the groups  $G$  of degree  $\leq 15$  and  $|G| \leq 10000$ , the timings are too small (average  $< 1$  second) to be really measured! Only few examples gave "long" timings ( $< 5$  seconds). They appear when orbits tree has a large number of branches ( $< 750$ ).

## Splitting Fields Timings (Magma 2.14, 32 bits, Intel 2.5GHz)

group	$ G $	Galois Grp	Comp. Schm.	Interpol+Lift	Magma	Lederer
$7T_6$	2520	0.06	0.00	52.5	>	1508.3
$8T_{32}$	96	0.16	0.00	0.72	33.5	12.5
$8T_{42}$	288	0.1	0.00	0.18	17.9	20.08
$8T_{47}$	1152	0.07	0.00	0.5	422.3	238.3
$9T_{25}$	324	0.42	0.01	4.07	106.1	67.9
$9T_{27}$	504	0.82	0.00	116.3	>	397.3
$9T_{31}$	1296	0.32	0.01	0.5	>	403.3
$9T_{32}$	1512	0.78	0.00	753.2	>>	1967.1

(>, >>): we wait at least (600, 2000) seconds

# Conclusion

- Fill the gap between Galois group computation and the splitting field computation without data basis.
- Better knowledge for the use of the symmetries (extrem case) in the computation of Gröbner bases.

